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## ***Helicobacter pylori* virulence genes and host genetic polymorphisms as risk factors for peptic ulcer disease**

Yoshio Yamaoka<sup>1</sup> and Muhammad Miftahussurur<sup>2</sup>

<sup>1</sup>Oita University, Baylor College of Medicine Houston United States

<sup>2</sup>Airlangga University Surabaya Indonesia

### **Abstract**

*Helicobacter pylori* infection plays an important role in the pathogenesis of peptic ulcer disease (PUD). Several factors have been proposed as possible *H. pylori* virulence determinants; for example, bacterial adhesins and gastric inflammation factors are associated with an increased risk of PUD. However, differences in bacterial virulence factors alone cannot explain the opposite ends of the PUD disease spectrum, i.e., duodenal and gastric ulcers; presumably, both bacterial and host factors contribute to the differential response. Carriers of the high-producer alleles of the pro-inflammatory cytokines interleukin (IL)-1B, IL-6, IL-8, IL-10, and tumor necrosis factor- $\alpha$  who also carry low-producer allele carriers of anti-inflammatory cytokines have severe gastric mucosal inflammation, whereas carriers of the alternative alleles have mild inflammation. Recent reports have suggested that the PSCA and CYP2C19 ultra-rapid metabolizer genotypes are also associated with PUD.

### **Keywords**

*Helicobacter pylori*; Peptic ulcer disease; Virulence factors; Host genetic polymorphism; CYP2C19; PSCA

### **Introduction**

*Helicobacter pylori* is a spiral-shaped, gram-negative bacterium that establishes chronic colonization in the human stomach and is a causative pathogen of various gastroduodenal diseases, including gastritis, peptic ulcer disease (PUD), gastric cancer, and mucosa-associated lymphoid tissue lymphoma [1]. Several conditions facilitate the survival of bacteria and its colonization of the stomach. Acute infections due to the bacterium cause marked inflammation of the stomach and lead to transient hypochlorhydria; they elicit the secretion of interleukin (IL)-1 $\beta$  and tumor necrosis factor (TNF)- $\alpha$  and/or accompanying inflammation, inhibiting parietal cell function, either directly or indirectly (i.e., via hormonal, paracrine, and neural regulatory mechanisms). Moreover, many of these bacteria

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adhere superficially to the epithelial cell layer where immune effectors are not easily accessible [2].

Gastric ulcer (GU) and duodenal ulcer (DU), commonly referred to as PUD, are defined as the loss of continuity in part of the gastrointestinal tract wall penetrating the muscularis mucosa with a diameter of at least 0.5 cm [2]. PUD is a common disease worldwide with a lifetime prevalence in the adult population of ~10%. *H. pylori* infection plays an important role in the pathogenesis of PUD, and is present in 90–100% and 60–90% of GU and DU patients, respectively, depending on geographic location and socioeconomic status [3]. Several studies have shown that *H. pylori* infection is associated with a 3–4-fold increased risk of PUD and that 10–15% of *H. pylori*-infected individuals will have PUD in their lifetime [4]. This observation suggests that the classic aphorism “no acid no ulcer” which still remains valid today, can be extended to “no *H. pylori* no ulcer”. The decline in the prevalence of *H. pylori* infection in the last several decades has paralleled, but cannot be entirely explained by, the increase in the proportion of *H. pylori*-negative ulcers based on endoscopic series from around the world [5]. It is suggested that the greater use of cyclooxygenase (Cox)-2 specific non-steroidal anti-inflammatory drugs (NSAIDs) represents the most frequently identifiable cause in *H. pylori* negative-DU, including Western countries. Nonetheless, several hypotheses suggested that *H. pylori* negative-DU related to false negative results because of diagnostic methods, the use of NSAIDs and concomitant prescription of proton pump inhibitors (PPIs) [5]. Although there is still controversy about the ability of *H. pylori* infection as the initial or primary cause of the PUD, there is no doubt regarding the value of *H. pylori* eradication leading to long-term healing of PUD. Eradication of this bacterium improves PUD recovery and is a primary and secondary prophylaxis to reduce the risk of recurrent ulcer bleeding. A meta-analysis suggested a remission rate of 97% and 98% for GU and DU, respectively, after successfully eradicating infection compared to 61% and 65% in patients with persistent infection. In addition, treatment of *H. pylori* infection is superior to ulcer healing drugs and reduces recurrent bleeding by 17% compared with ranitidine or omeprazole. Similar results have been found in a Cochrane Database meta-analysis, which showed that eradication therapy is superior to ulcer healing drugs and no DU treatment [6].

Interestingly, PUD has contrary effects on opposite ends of the disease spectrum, which may be related to differences in the severity and distribution of gastritis (Figure 1). DU is usually diagnosed at a young age, in males, and in patients with high antral inflammatory scores and high acid secretion. GU occurs more frequently in older patients, is not sex-biased, and occurs in patients with corporal gastritis or pangastritis and normal or decreased acid secretion [7]. Although *H. pylori* cannot normally establish infections in the duodenum because it is inhibited by bile, antral-predominant gastritis induces hyperacidity as a result of reduced antral somatostatin content and elevated basal and stimulated gastrin secretion (Figure 1A). This leads to an increased acid load in the duodenum, which subsequently results in protective gastric metaplasia as the defense response or adaptation. The appearance of gastric-type epithelium over the duodenal villi probably results from substitution by cells migrating from Brunner’s gland ducts. Although still debatable, the involvement of gastric metaplastic tissue may provide sites for *H. pylori* colonization and the resulting local inflammation and damage further promote DU [8]. The mechanism by which somatostatin

secretion is decreased is not known but may involve three potential mechanisms. First, *H. pylori* raises mucosal surface pH by virtue of its high urease activity and ammonia synthesis. Low antral pH is an important physiological stimulus to the synthesis and release of antral somatostatin [9]. Second, *H. pylori* antral gastritis might alter Gastrin and D cell function via the local production of specific cytokines. *H. pylori* infection results in severe antral gastritis via infiltration of the mucosa with acute and chronic inflammatory cells. There is also upregulation of local production of various cytokines (e.g. TNF, IL-6, IL-8, etc) [9]. Third, cytokines are induced by the inflammation and/or the production of N-methyl histamine, a selective H3-receptor agonist, by *H. pylori*. One may speculate that the H3-receptor agonist could diffuse across the antral mucosa to interact with H3 receptors on antral somatostatin cells, causing inhibition of somatostatin secretion and thus, stimulation of gastrin secretion. Gastrin, in turn, stimulates histamine secretion from Enterochromaffin-like (ECL) cells leading to enhanced acid secretion [7].

In contrast, acid hypersecretion lasts at least 8 weeks and is due to hypergastrinemia-induced increases in parietal and ECL cell masses. Thus the stomach responds to the presence of inflammation by reducing somatostatin levels, thereby releasing the inhibition on the G and parietal cells to maximize gastric acid output [10]. With time, corpus-predominant gastritis in chronically infected patients decreases the amount of acid and causes atrophy of oxyntic glands with a loss of parietal cells [9]. This results in irreversible achlorhydria, which is related to GU (Figure 1B). The GU process is commonly observed at the transitional zone between the antrum and corpus on the lesser gastric curvature, which is likely related to the heavy colonization and consequent marked inflammation and epithelial damage at this site, leading directly to ulceration [7]. It is not clear why *H. pylori*-induced inflammation has a pan-gastritis or corpus-predominant pattern in some people, but is antral-predominant in others. One possibility is that, like autoimmune gastritis, it is caused by immune effectors with specificity for the gastric proton pump ATPase [11]. Interestingly, the involvement of acidity levels is supported by the observation that long-term gastric acid inhibition can result in a shift from antral-predominant to corpus-predominant inflammation [11].

Several factors have been proposed as possible *H. pylori* virulence determinants, which could be predictors of severe clinical outcomes as well as PUD. Strains that express multiple virulence factors are associated with more severe clinical outcomes [1]. Importantly, in conjunction with bacterial and/or environmental factors, genetic polymorphisms at a combination of markers related to the adaptive and innate immune systems are associated with *H. pylori* infection and promote chronic inflammation and reduced acidity. Following *H. pylori* infection, neutrophils and mononuclear cells are activated, and their products infiltrate the gastric mucosa and stimulate the transcription and synthesis of several pro-inflammatory and anti-inflammatory cytokines [12]. Most of these inflammatory cytokine genes have mutations that influence cytokine levels in the gastric mucosa. Carriers of low-producer alleles of pro-inflammatory cytokines who also carry high-producer alleles of anti-inflammatory cytokines have mild gastric mucosal inflammation, whereas carriers of the alternative alleles have extreme inflammation. In this review, we summarize the influence of several virulence genes and host polymorphisms on PUD pathogenesis.

## Association between *H. pylori* virulence genes and PUD

*H. pylori* factors appear to reduce inflammation or recognition by the immune system. For example, *H. pylori* lipopolysaccharide (LPS) is 1,000 times less pyrogenic and 500-fold less toxic than LPS of gram-negative enteric bacteria. Moreover, modified *H. pylori* LPS may act as molecular mimics of human glycans to avoid immune recognition. *H. pylori* possesses several glycosyltransferase enzymes that have been implicated in LPS modifications with carbohydrate groups resembling the human Lewis blood group antigens Le(a), Le(b), Le(x), and Le(y) [13]. Putative *H. pylori* virulence factors, such as bacterial adhesins (*oipA* and *babA*) and gastric inflammation factors (*cagA*, *vacA*, *dupA*, and *iceA*), are associated with an increased risk of PUD.

### Outer inflammatory protein: OipA

OipA is an outer membrane protein that functions in adhesion [14]. Its function is regulated by slipped-strand mispairing based on the number of CT dinucleotide repeats in the 5' region (switch "on" = functional and switch "off" = nonfunctional) [14]. Most East Asian strains are classified as *oipA* status "on," and the CT-repeat sequences in the signal region of *oipA* are half-collapsed (for example, the Japanese-derived strain JK51 contains a CTGCCTTTCT repeat sequence and its status is 'on'); this suggests an intentional change in status in the evolution of the bacteria to prevent switching [14]. Interestingly, a recent study using whole-genome sequences found two *oipA* genes at different loci in strains from East Asian countries, but not in strains from Western countries [15].

Several mechanisms may explain the association between the *oipA* "on" status and PUD. Based on gene knockout models, the *oipA* "on" status has been linked to increased IL-8 production in gastric cancer cells [14]. In addition, *oipA* mutants do not induce gastric mucosal inflammation in mice infected for 12 weeks, whereas *cagE* mutants do induce mucosal inflammation, although the levels are lower than those observed in the parental strains (*cagE* is an important component of *cag* pathogenicity island [PAI]) [16]. Moreover, *oipA* mutations induce milder inflammation than the wild-type gene in a Mongolian gerbil model [17]. The mRNA levels of the genes encoding IL-1 $\beta$ , IL-17, IL-18, and TNF- $\alpha$  were significantly lower in gerbils infected with *oipA* mutant strains [17]. We also confirmed the role of the *oipA* "on" status in severe inflammation by inducing IL-8 expression in humans [18]. Human volunteers were challenged with *oipA* "on"/whole-*cag* PAI-negative clinical isolates (Baylor challenge strain 100; ATCC BAA-945). The IL-8 levels in the gastric mucosa increased by up to 20-fold within 2 weeks after inoculation [18]. We further determined that *oipA* is necessary for full activation of the IL-8 promoter and is involved in the STAT1-IRF1-ISRE pathway.

Our previous study showed that the *oipA* "on" status and the *cag* PAI-positive, *vacA* s1, and *babA*-positive genotypes are all related to the risk of DU. Importantly, a multiple logistic regression analysis showed that only the *oipA* "on" status is an independent predictor that differentiates DU from gastritis [1]. Moreover, when we examined four outer-membrane proteins (OipA, BabA, BabB, and SabA) by immunoblotting, a multiple logistic regression analysis showed that only the *oipA*-positive status was an independent predictor of DU vs. gastritis. A meta-analysis [19] also reported that the *oipA* "on," but not "off," status is

significantly associated with an increased overall risk relative to controls (OR = 3.97). When GU and DU are analyzed separately, the *oipA* “on” status is significantly associated with an increased risk of DU relative to controls (OR = 3.83), but this was not observed for GU.

### Blood group antigen-binding adhesion: BabA

The *babA* gene has been detected on the outer membrane of cells of the CCUG17875 bacterial strain, which contains one silent *babA1* and one expressed *babA2* gene. The sequences of these two genes differ only by the presence of a 10-bp deletion in the signal peptide sequence of *babA1*, which eliminates its translational initiation codon [20]. Several mechanisms for the regulation of BabA expression are predicted, including those acting at the transcriptional and translational levels. The transcription of *babA* appears to be regulated by the number of adenine [poly(A)] nucleotides within the –10 to –35 region of the *babA* promoter. The –10 and –35 region of the *babA2* sequences are highly homologous to the consensus *Escherichia coli*  $\sigma^{70}$  promoter sequences [20]. A recent study reported that the *babA* mutant is less capable of inducing DNA double-strand breaks (DSBs) in primary and transformed murine and human epithelial and mesenchymal cells, suggesting that bacterial adhesion via *babA* is required to induce DSBs. The induction of DSBs contributes to genetic instability and frequent chromosomal aberrations, which are hallmarks of gastric cancer [21]. Moreover, BabA expression is a major determinant of the density of *H. pylori* colonization. The absence of Lewis B expression is inversely proportional to the density of colonization, and Lewis X or Lewis A expression and colonization density might adversely affect the efficacy of eradication and ulcer healing [22].

Our group showed that gerbils infected with BabA-expressing *H. pylori* exhibit higher levels of mucosal injury and inflammatory cell infiltration compared to strains with low levels or no expression of BabA [23]. Infections with BabA-positive strains are associated with a 2-fold increase in the diagnosis of gastric atrophy compared with infections with BabA-negative strains. In addition, an immunological analysis of inflammatory responses in the stomach revealed that BabA-positive strains colonize more densely and induce stronger IL-8 secretion in the mucosa compared to counterpart strains. Our group performed large-scale studies of 520 geographically diverse patients presenting with different clinical symptoms to evaluate BabA status by an immunoblot analysis [24]. Interestingly, all strains from East Asia expressed the BabA protein. Twenty-four (9.8%) Western strains were BabA-negative, and these strains were related to milder gastric injury and lower *H. pylori* density than BabA-positive strains. BabA expression is a major determinant of the density of *H. pylori* colonization. A meta-analysis demonstrated that the presence of *babA* is associated with an increased risk of PUD (OR = 2.069), especially the DU subgroup (OR = 1.588). However, a significant association between the *babA* gene and PUD and DU was observed only in Western countries and not in Asian countries [25].

### Cytotoxin-associated gene A: CagA

The *cagA* gene is located at one end of the *cag* PAI, in an approximately 40-kb region that is thought to have been incorporated into the *H. pylori* genome by horizontal transfer from an unknown source [26]. CagA activates a number of signal transduction pathways that resemble signaling via growth factor receptors; simultaneously, it is involved in binding and



## Vacuolating cytotoxin: VacA

VacA is a pore-forming cytotoxin; it is a large, 140-kilodalton polypeptide that is trimmed at both ends during secretion from bacterial cells [13]. It enters host cells via endocytosis and induces multiple cellular activities, including membrane-channel formation, cytochrome *c* release from mitochondria leading to apoptosis, and binding to cell membrane receptors followed by the initiation of a proinflammatory response [2]. In addition, VacA induces host-cell death via apoptosis. This is thought to occur by pore formation in mitochondrial membranes and indirectly via the activation of proapoptotic signaling molecules. Purified VacA can cause epithelial erosion when applied directly to the mouse gastric mucosa [13]. An *in vitro* study has shown VacA specifically inhibits gastric epithelial cell proliferation [35]. In addition, VacA in combination with heparan sulfate-binding proteins, released by *H. pylori*, bind host growth factors and may impair mucosal repair thus contributing to ulcer pathogenesis [36].

The gene encoding *vacA* displays allelic diversity, including the signal (s) regions s1 and s2 and middle (m) regions m1 and m2. Based on *in vitro* experiments, s1m1 strains have the highest cytotoxicity because they consistently induce cell vacuolation, followed by s1m2 strains (in which cell vacuolation is not consistently induced) and s2m2 strains, which have no cytotoxic activity due to a failure to induce cell vacuolation [29]. In agreement with *in vitro* data, many studies examining populations in Western countries [37–39] have shown that individuals infected with *vacA* s1 or m1 *H. pylori* strains have an increased risk of PUD compared with those with s2 or m2 strains. Our study in Okinawa [40] also found that the *vacA* s1m1 genotype is highly prevalent in strains from patients with GU (79.2%) than in those from patients with gastritis (59.2%); the *vacA* s1m2 genotype is more common in strains from gastritis patients than in those from GU patients (17.3% vs. 7.9%,  $P = 0.04$ ). The prevalence of the *vacA* s2m2 genotype is significantly higher in strains from patients with gastritis than those from patients with GU and DU (22.4% vs. 11.9% and 10.5%,  $P = 0.04$  and 0.01, respectively).

The intermediate (i) region is a third disease-related region of *vacA*. It is located between the s region and the m region and was first described in an Iranian population, in which the frequency of *vacA* s1/m2 strains was high [41]. Rhead *et al.* proposed that the i region of s1m1 and s2m2 be classified as i1 and i2, respectively. The i1 strains are more pathogenic. The s1m2 strains are classified as either type i1 or i2 [41]. A study in Western countries found that the *vacA* i1 prevalence was significantly higher (75.0%) in *H. pylori* strains from PUD patients than in strains isolated from patients with non-ulcer gastric diseases (58.6%) ( $P = 0.022$ ) [42]. Basso *et al.* also reported that only i1, and not s1 or m1, strains are significantly associated with PUD. When they examined the association considering GU and DU strains separately, only DU (69%), but not GU (50%), patients had *vacA* i1 strains, significantly more than those with gastritis (44%,  $P = 0.01$ ) [43]. However, we did not find an association between genotype and outcome when considering the *vacA* i region in 314 strains isolated from East Asian and Southeast Asian populations [44]. A true *H. pylori* virulence factor should have epidemiologic consistency across populations and regions [44]. The deletion (d) region located between the i region and the m region remains poorly studied. One study reported that the frequency of *vacA* in d1 is 43.4%, and is significantly

higher in *H. pylori* isolates from patients with PUD (71.4%) than in those with gastritis (27.4%) [45].

### Duodenal ulcer promoting gene: DupA

DupA is an *H. pylori* virulence factor that is located in the plasticity region of the *H. pylori* genome. Lu *et al.* reported an *H. pylori* gene (jhp0917–0918: *dupA*) whose presence is related to an increased risk of DU, neutrophil infiltration, and protection against atrophy, intestinal metaplasia, and gastric cancer, regardless of nationality (Japan, Korea, and Colombia) [46]. A meta-analysis indicated that the prevalence of *dupA* in patients with gastritis worldwide is 44.88% and differs significantly with respect to nationality and ethnicity; the highest prevalence was recorded in South America [47], and *dupA*-positive isolates are associated with DU (P = 0.001, OR = 1.4, CI = 1.1–1.7) [47]. Our meta-analysis [48] including 17 studies and a total of 2,466 patients revealed that the overall prevalence of the *dupA* gene is 31.0% (496/1,600) in Asian countries and 64.1% (526/820) in Western countries. An infection with *dupA*-positive *H. pylori* increases the risk for DU (OR 1.41, 95% confidence interval [CI] 1.12–1.76), particularly in Asian countries (OR 1.57, 95% CI 1.19–2.06), but not in Western countries (OR 1.09, 95% CI 0.73–1.62) [48].

Gomes *et al.* [49] reported a frameshift mutation in 16.28% (14/86) of *dupA* genes, which created a premature stop codon and had potentially considerable effects on protein expression or function. Intriguingly, the presence of *dupA* without a stop codon was more frequently observed in strains from patients with DU than in strains from those with gastritis and gastric cancer [50]. In our study, we found that the intact long-type *dupA* without a frameshift mutation, but not the short-type *dupA*, is significantly related to GU, but not to gastritis. Even after adjusting for age, gender, and *cagA*, the presence of the intact long-type *dupA* is more significantly associated with GU than gastritis (OR 3.35, 95% CI 1.55–7.24), suggesting that an intact long-type *dupA* might produce a functional DupA protein and an intact long-type *dupA* can be an effective virulence marker for severe outcomes [51].

### Induced by contact with epithelium: IceA

The *iceA* gene has two main allelic variants, *iceA1* and *iceA2* [27]. Based on a sequence analysis, *iceA1*, but not *iceA2*, has strong homology to *nlaIII*R, which encodes a CATG-specific endonuclease in *Neisseria lactamica*, and *iceA1* appears to be a degenerated gene that was once part of a restriction-modification system [52]. The expression of *iceA1* is upregulated in response to contact between *H. pylori* and human epithelial cells, and this has been linked with enhanced mucosal IL-8 expression and acute antral inflammation, and is regarded as a marker for PUD [52].

Van Doorn *et al.* [27] reported that the *iceA* allelic type is independent of the *cagA* and *vacA* status, and there is a significant association between the presence of the *iceA1* allele and PUD. The significant association between DU and the *iceA1* allele has also been reported by several studies [53,54]. Our meta-analysis that included 50 studies with a total of 5,357 patients revealed that the overall prevalence of *iceA1* is significantly higher in Asian countries than in Western countries (64.6% vs. 42.1%), whereas the prevalence of *iceA2* is more prevalent in Western countries than in Asian countries (45.1% vs. 25.8%). A

sensitivity analysis showed that *iceA1* is significantly associated with PUD (OR 1.25, 95% CI 1.08–1.44); however, *iceA2* is inversely related to PUD (OR 0.76, 95% CI 0.65–0.89) [54].

## Host polymorphisms and PUD

Genes encoding cytokines and related molecules harbor polymorphic regions that directly influence interindividual variation in the magnitude of the cytokine response, and this variation is clearly related to clinical outcomes. Activated neutrophils and mononuclear cells produce several pro-inflammatory cytokines. Several pro-inflammatory cytokines (e.g., IL-1B, IL-6, IL-8, and TNF- $\alpha$ ) and anti-inflammatory cytokines (IL-10) are associated with PUD. A recent report also suggested that the PSCA and CYP2C19 ultra-rapid metabolizer genotype are associated with PUD.

### Tumor necrosis factor-alpha (*TNF-A*)

*TNF-A*, which encodes TNF- $\alpha$ , is a polymorphic gene located in the central major histocompatibility complex; it is a key mediator in host responses against gram-negative bacteria [55]. TNF- $\alpha$  has many biological activities, including the stimulation of the expression of adhesion molecules, such as intercellular adhesion molecule 1, on endothelial cells (which facilitates the extravasation of neutrophils into the lamina propria of the mucosa), activation of leukocytes and T-lymphocytes, stimulation of the production of cytokines by macrophages and monocytes, and the induction of apoptosis. *H. pylori* infection elevates TNF- $\alpha$  in tissues and induces cytotoxicity and apoptosis of gastric epithelial cells [56]. The specific mechanisms between TNF- $\alpha$  and PUD has not been fully elucidated. However TNF- $\alpha$  plays a key role in regulating gastric acid secretion, which is one of the most important factors in the development of DU associated with *H. pylori* infection. It is supported by the levels of TNF- $\alpha$  being found to be significantly higher in antral biopsy specimens in DU patients than in those from *H. pylori*-negative subjects [57].

Kustmann *et al.* [58] reported that the G/G genotype of the *TNF-A-308* polymorphism is a risk factor for DU in females with *H. pylori* (RR = 10.7). Subsequent studies have suggested that among five biallelic polymorphisms in the *TNF-A* promoter region, the *TNF-A-238* G/A and *-308* G/A polymorphisms are related to interindividual differences in transcriptional activity in Western countries, and these polymorphisms are associated with the development of GU and DU [59]. However, most Asian populations have low-producer alleles, *TNF-A-238* G/G and *-308* G/G [59]. Recently, three polymorphisms, *TNF-A-1031* T/C, *-863* C/A, and *-857* C/T, which are related to high transcriptional promoter activity, have been identified in Japanese patients [60]. Subjects with *TNF-A-857/-863/-1031* TAC polymorphisms have 1.8-fold higher TNF- $\alpha$  levels in peripheral mononuclear cells than subjects with dominant genotypes [60]. Lu *et al.* reported that among *H. pylori*-infected patients, *-1031C* or *-863A* carriers of the *TNF-A* promoter had more severe gastric neutrophil infiltration and TNF- $\alpha$  gastric staining than individuals with the *-1031* TT or *-863* CC genotype, respectively. Moreover, a multivariate logistic regression verified that both *-1031C* and *-863A* are independent risk factors for GU and DU without intestinal metaplasia in *H. pylori*-infected hosts [61]. A similar association also been found between

*TNF-A-857T*T and both GU and DU [62]. Sugimoto *et al.* reported that the alleles *TNF-A-857T* (OR = 1.826), *TNF-A-863 A* (OR = 1.788), and *TNF-A-1031 C* (OR = 1.912) are associated with an increased risk of GU development [59].

## IL-1B

The *IL-1* gene cluster on chromosome 2q contains 3 related genes within a 430-kilobase (kb) region. *IL-1A*, *IL-1B*, and IL-1 receptor antagonist (*IL-1RN*), which encode the pro-inflammatory cytokines IL-1 $\alpha$  and IL-1 $\beta$  as well as their endogenous receptor antagonist, respectively [63]. The *IL-1B* gene has three biallelic polymorphisms at positions -511, -31, and +3954 bp from the transcriptional start site, whilst the *IL-1RN* gene has a variable number of identical tandem repeats of 86 bp in length in the intron [64]. Interestingly, mucosal IL-1 $\beta$  levels significantly differ among the *IL-1B-511*, -31, and *IL-1RN* genotypes. In addition, *IL-1B-511 T*, -31 C, and *IL-1RN*\*2 carriers have increased IL-1 $\beta$  production compared with carriers of the alternative genotypes [12]. Together with TNF- $\alpha$ , IL-1 $\beta$  is important in initiating and amplifying inflammatory responses to *H. pylori* infection. IL-1 $\beta$  is also a potent inhibitor of gastric acid secretion; it is a 100-fold more potent inhibitor than proton pump inhibitors, and 6000-fold more potent than H2 receptor antagonists on a molar basis. Theoretically, increased production of IL-1 $\beta$  in the gastric mucosa would result in enhanced suppression of gastric acid secretion as well as enhanced inflammation, allowing the expansion of *H. pylori* colonization from the gastric antrum to the corpus, leading to the progression of severe atrophic gastritis in the corpus and further decreasing acid secretion [59].

Furuta *et al.* reported differences in the levels of fasting intra-gastric pH between *H. pylori*-infected individuals with the *IL-1B-511 T* allele and those with the *IL-1B-511 C* allele; the observed pH values were 6.5, 3.8, and 2.4 for patients with the *IL-1B-511 T/T*, C/T, and C/C genotypes, respectively. Moreover, inflammatory cell infiltration is significantly higher in the carriers of the *IL-1B-511 T/T* genotype than in those of the C/T or C/C genotype [65]. A similar finding with respect to the neutrophil infiltration score was also found between individuals with the *IL-1RN*\*1/\*1 and *IL-1RN*\*1/\*2 genotypes [65]. Several epidemiological studies have revealed that individuals with the combination of *IL-1B-511 T* and *IL-1B-31 C* or *IL-1RN*\*2/\*2 (2 repeats of 86 bp), which are categorized as high-producer alleles/genotypes, have a higher risk of gastric atrophy, PUD, and gastric cancer than individuals with the *IL-1B-511 C*, *IL-1B-31 T*, or non-*IL-1RN*\*2 alleles [12,65,66]. Chakravorty *et al.* [67] reported a significantly higher frequency of *IL-1B-511 T/T* (OR = 4.22) and -31 C/C (OR = 2.16) genotypes in *H. pylori*-infected individuals with DU compared to infected individuals with normal mucosa. The C/T haplotype of the *IL-1B-511* and *IL-1B-31* loci is also present at a significantly higher frequency in *H. pylori*-infected DU patients than in infected controls (OR = 2.47, CI = 1.27–4.8). A quantitative analysis of mucosal *IL-1B* revealed that among *H. pylori*-infected individuals, carriers of the -31 C/C genotype had significantly lower *IL-1B* transcript levels than did carriers of the C/T and T/T genotypes. Moreover, an *IL-1B* promoter activity assay showed that the promoter with -31 T had a 10-fold increase in activity compared to the promoter with -31 C [67].

## IL-6

*IL-6*, a multifunctional cytokine, plays an important role in host defense as a messenger between innate and adaptive systems by stimulating IFN- $\gamma$  production, differentiation, and the maintenance of cytotoxic T-cells, and promoting immunoglobulin secretion in activated B cells [68]. The gastric mucosal levels of IL-6 are elevated in *H. pylori*-associated gastritis and diminished after infection is eradicated [69]. Three polymorphisms in the *IL-6* gene, which is located on chromosome 7p21, have been identified, *IL-6-174*, *-572*, and *-597* [70]. *IL-6-174* G and *IL-6-572* G allele carriers produce higher levels of IL-6 than those with the C/C genotype [64]. However, the association between this polymorphism and PUD remains unclear. A study reported that the frequencies of *IL-6-572* G/G (OR 0.3, 95% CI: 0.1–0.9,  $P = 0.027$ ) and of G carriers (OR 0.5, 95% CI: 0.4–0.8,  $P = 0.003$ ) were lower in *H. pylori*-positive DU patients than in *H. pylori*-positive controls [71]. Moreover, the risk of GU was significantly higher for the G/G genotype (OR 58.86) and G allele carriers (OR = 33.10). In contrast, in our study, we failed to detect an association between *IL-6* polymorphisms and PUD in Asian and Colombia populations [72].

## IL-8

*IL-8* is a pro-inflammatory chemokine that belongs to the CXC family and has an important role in the pathogenesis of *H. pylori*-induced diseases. High expression of IL-8 has been demonstrated for gastric epithelial cells during *H. pylori* infection, particularly in the *cag*-PAI-positive strain of *H. pylori*. It plays a key role in the initiation, modulation, and maintenance of gastrointestinal inflammatory responses [64]. Increased IL-8 levels may amplify the inflammatory response to *H. pylori* by recruiting neutrophils and monocytes, resulting in an advanced degree of gastritis [64]. Within the past few years, increasing evidence has indicated that the inflammatory response is an essential part of the pathogenesis of PUD, suggesting that the inflammatory cytokines, as well the variation in genes encoding the inflammatory mediators may play a significant role in the development of PUD [73]. There are three common polymorphisms in the *IL-8* gene, *-251* A/T, *-396* T/G, and *-781* C/T. A common single nucleotide polymorphism (SNP) at position *-251* is associated with increased *IL-8* production [74]. The T-to-A mutation in this promoter region could affect *IL-8* transcription and secretion [75].

*H. pylori*-positive patients with the A/A genotype at position *-251* of the *IL-8* gene have an increased risk of PUD (OR = 2.08) [69]. Hofner *et al.* reported a higher frequency of the *IL-8-251* A/T genotype (high IL-8 producers) among patients with DU than controls. Conversely, the prevalence of *IL-8-251* T/T was significantly lower in the group of patients with DU (17%) than in the control group (40%) [76]. Similar results have also been found in European and Korean populations [57,71]. In the Japanese population, *IL-8-251* A/A is associated with a higher risk of GU (OR 2.07) than T/T. Severe gastric atrophy is also substantially more common in the A/A or A/T genotype groups than in the T/T genotype group [75]. Although a subsequent study only found an association with gastric cancer [77], a meta-analysis confirmed that, only in Asians, PUD risk was higher for the *IL-8-251* A/A polymorphism than T/T (OR = 1.40). Moreover, the *IL-8-251* A/A polymorphism had higher GU and DU risks than T/T (OR = 1.24 and OR = 1.40, respectively) [73].

## IL-10

IL-10 is an anti-inflammatory cytokine that downregulates IL-1B, TNF- $\alpha$ , Interferon- $\gamma$ , and other proinflammatory cytokines. The effects of IL-10 on other cell types include the inhibition of proinflammatory cytokine production by activated monocytes/macrophages [68]. A relative deficiency in IL-10 may result in a Th-1-driven hyperinflammatory response to *H. pylori* with greater damage to the gastric mucosa. *H. pylori* can lead to IL-10 upregulation and the suppression of an efficient immune response, which favors infection and parasite survival [69]. Two SNPs that are associated with low IL-10 production have been detected in the promoter region of this gene: a C–T base transition at position –819 and a C–A base transition at position –592 [69]. Patient carriers of the T allele at position –819 of the *IL-10* gene in the presence of *H. pylori* infection have an increased risk of PUD (OR = 1.24) [69]. However, one study reported a synergistic effect between *IL-10-592* A/A and *IL-8-251* A/A, which are associated with high IL-8 production, with respect to the development of GU [71].

## Cytochrome P450 2C19 (CYP2C19)

CYP2C19, a CYP isoform, is a clinically important enzyme responsible for the metabolism of a number of therapeutic drugs (such as nonsteroidal anti-inflammatory drugs, proton pump inhibitors, antidepressants, benzodiazepines, and clopidogrel) [78]. CYP2C19 also plays a crucial role in either the detoxification or inactivation of potential carcinogens, and in the bioactivation of some environmental procarcinogens to reactive DNA-binding metabolites, such as nitrosamine. Therefore, CYP2C19 polymorphism is associated with differences among individuals in susceptibility to certain forms of cancer. Importantly, the CYP2C enzymes also metabolize endogenous substances, such as arachidonic acid and estrogens [79]. CYP2C19 efficiently metabolizes arachidonic acid to four kinds of epoxyeicosatrienoic acids (EETs; 5,6-EET, 8,9-EET, 11,12-EET, and 14,15-EET) that have diverse physiological roles (including the control of vascular tone, angiogenesis, cellular migration, proliferation, and inflammation) [80]. With regards to the metabolic rate, individuals with the CYP2C19\*1/\*1 genotype are classified as extensive metabolizers (EMs). They carry two wild-type alleles and have no mutation, whereas those who have CYP2C19\*2 or CYP2C19\*3 mutation alleles are designated as intermediate metabolizers (IMs), if heterozygous, and poor metabolizers (PMs), if homozygous. IMs and especially PMs have superior acid suppression with conventional doses of proton pump inhibitors [81]. The CYP2C19\*17 (–806 C.T) allele, a novel allele identified by Sim, *et al.* is associated with the ultra-rapid phenotype and provides decreased acid suppression with standard recommended doses of proton pump inhibitors. The CYP2C19\*17 allele has a frequency of 18% in both Swedes and Ethiopians, but only 4% in Chinese populations [81].

Musumba *et al.* proposed that CYP2C19\*17, associated with ultra-rapid metabolizers, alters arachidonic acid metabolism, resulting in impaired gastrointestinal mucosal defenses through a combination of reduced gastro-protective prostaglandin E2 production, enhanced vasoconstriction in the mucosal microcirculation, and promotion of the production of injurious reactive oxygen species [80]. Associations between eight functional SNPs in the CYP2C family of genes—CYP2C8\*3 (rs11572080 and rs10509681), CYP2C8\*4, CYP2C9\*2, CYP2C9\*3, CYP2C19\*2, CYP2C19\*3, and CYP2C19\*17—and PUD have

been detected in 1,239 Caucasian patients, irrespective of NSAID exposure or the presence of *H. pylori* infection [80]. A logistic regression analysis showed that only CYP2C19\*17 was associated with PUD (OR = 1.47, CI 1.12 to 1.92). An additional analysis focusing on all CYP2C19 genotypes and PUD status (using \*1/\*1 as a baseline) showed that only \*1/\*17 was significantly associated with PUD. When they separated analysis between PUD type, a low association was found between CYP2C19\*17 with GU and DU (P = 0.022 and P = 0.037, respectively), but was not significant after Bonferroni correction. The next analysis to find influence of PPI and gender found that the utilization of PPIs was significantly associated with the absence of PUD in all patients, both when those with evidence of *H. pylori* infection were included and when they were excluded (P < 0.0001). However, they failed to get association between CYP2C19\*17 status and PPI use. A previous study also found that the prevalence of the rapid metabolizer genotype CYP2C19 (56%) was highest, followed by the intermediate metabolizers (32%) and poor metabolizers (12%). Furthermore, the prevalence of rapid metabolizers in was significantly greater in patients with PUD with bleeding than in gastritis patients (56% vs. 36%: OR = 2.3) [82]. In fact, the poor metabolizers with the CYP2C19 genotype appear to be at an increased risk for developing gastric cancer, especially the diffuse type, in the Japanese population [83].

### Prostate stem cell antigen (PSCA)

Prostate stem cell antigen (PSCA), located on chromosome 8q24.2, encodes a protein consisted of 123 amino acid residues. PSCA was initially identified as a prostate-specific antigen, which is overexpressed in most prostate cancers and plays an important role in cell adhesion, proliferation and survival. PSCA was also found to be abnormally expressed in other types of malignancies, such as cancers of the bladder, pancreas, esophagus, and stomach [84]. A meta-analysis suggested the two loci of PSCA (rs2294008 and rs2976392) were both significantly associated with gastric cancer susceptibility [84].

Recently, genome-wide association studies (GWAS) are a powerful tool for high-risk population screening for many diseases in molecular epidemiology. Using GWAS, a study investigated the association of PSCA variations with GU in two Japanese case-control sample groups (4,291 GU cases and 22,665 controls) [85]. They found that the C-allele of rs2294008 at PSCA increased the risk of GU (OR = 1.13). The same group also analyzed a total of 7,035 individuals with DU and 25,323 controls in Japanese population. The C allele of rs2294008 at PSCA was associated with increased risk of DU (OR = 1.84). In addition this allele also decreased risk of gastric cancer (OR = 0.79) [86].

### Other risk factors for PUD

There are several other risk factors related to PUD, such as NSAID and polymorphism related to NSAID, smoking, alcohol, consumption of meat and fish, and a family history of PUD. These factors are not within the scope of this review.

### Expert commentary

Although PUD is typically a non-fatal disease, it is the most important cause of upper gastrointestinal bleeding. Recurrent PUD, particularly in the pyloric and bulbar regions, can

lead to scarring with stricture formation and gastric outlet obstruction. Patients infected with *H. pylori* have a 3–4-fold increased risk of PUD, and the eradication of *H. pylori* strongly reduces the otherwise high risk of renewed ulcer bleeding. In this review, we summarized several of the most likely virulence genes (adhesin bacterial and gastric inflammation factors) associated with an increased risk of PUD. Patients infected with *H. pylori* who have *oipA* “on,” *cagA*-positive, *cagA* with a 12-bp insertion, East-Asian-type *cagA*, Western-type-*cagA* with the EPIYT sequence, *vacA* s1, m1, or i1, *babA*-positive, *dupA*-positive (especially the intact long-type *dupA*), or *iceA1*-positive genotypes have a significantly increased risk of PUD (Table 1). However, it is possible that additional important pathogenic genes exist because the *H. pylori* genome consists of approximately 1,600 genes. Recently, a microarray analysis provided comprehensive information about the gene content of different strains and helped identify both strain-specific and shared genes. Salama *et al.* [87] examined the genomic content of 15 clinical isolates by using a whole-genome DNA microarray and defined 1,281 genes as functional core genes. They identified candidate virulence genes on the basis of coinheritance with the *cag* PAI [87]. In addition, whole-genome sequencing technology has become cheaper and is a powerful tool to study the pathogenicity of *H. pylori*. Massively parallel sequencing technology provides valuable information regarding candidates for novel virulence factors. Host polymorphisms directly influence variation among individuals in the magnitude of cytokine responses and result in a disequilibrium between aggressive and defensive factors acting in the mucosa, clearly contributing to an individual’s clinical outcome. Some *TNF-A*, *IL-1B*, *IL-6*, *IL-8*, *IL-10* and *PSCA* polymorphisms are strongly associated with PUD, as are rapid and ultra-rapid metabolizer CYP2C19 genotypes. Importantly, there is geographical variation in bacterial genotypes and host polymorphisms, which influences clinical outcomes (Table 1). This observation indicated that the identification of the high-risk group for PUD with respect to both bacterial and host factors is important. Similar to the reason why *H. pylori*-induced inflammation has a pan-gastritis or corpus-predominant pattern in some people, but is antral-predominant in others has not been fully elucidated; the difference of bacterial virulence or host factors alone cannot be explained the end of spectrum PUD. Most of them become risk factors for both GU and DU. Presumably both bacterial and host factors contribute together with environmental factors to this differential response. More studies are needed to determine the mechanisms for the opposite ends of the PUD spectrum (GU and DU) and its association with *H. pylori* virulence and host genetic factors. Although this has become an ideal condition, we recommend intensive endoscopic screening and/or eradication therapy for patients with a high risk of PUD. Recently, a novel, fully automated rapid genetic analyzer was developed that is capable of determining clarithromycin resistance (e.g., the 23S rRNA point mutations A2143G and A2144G) within 60–120 min, whereas culture tests require 7–10 d, and the same method can likely be used for other screening tests. However, specialized laboratories and expensive equipment are required. At minimum, physicians should be aware of the predominant bacterial and host genotypes in their region. This would enable them to predict the risk of developing PUD or other gastrointestinal disease in patients infected with *H. pylori*.

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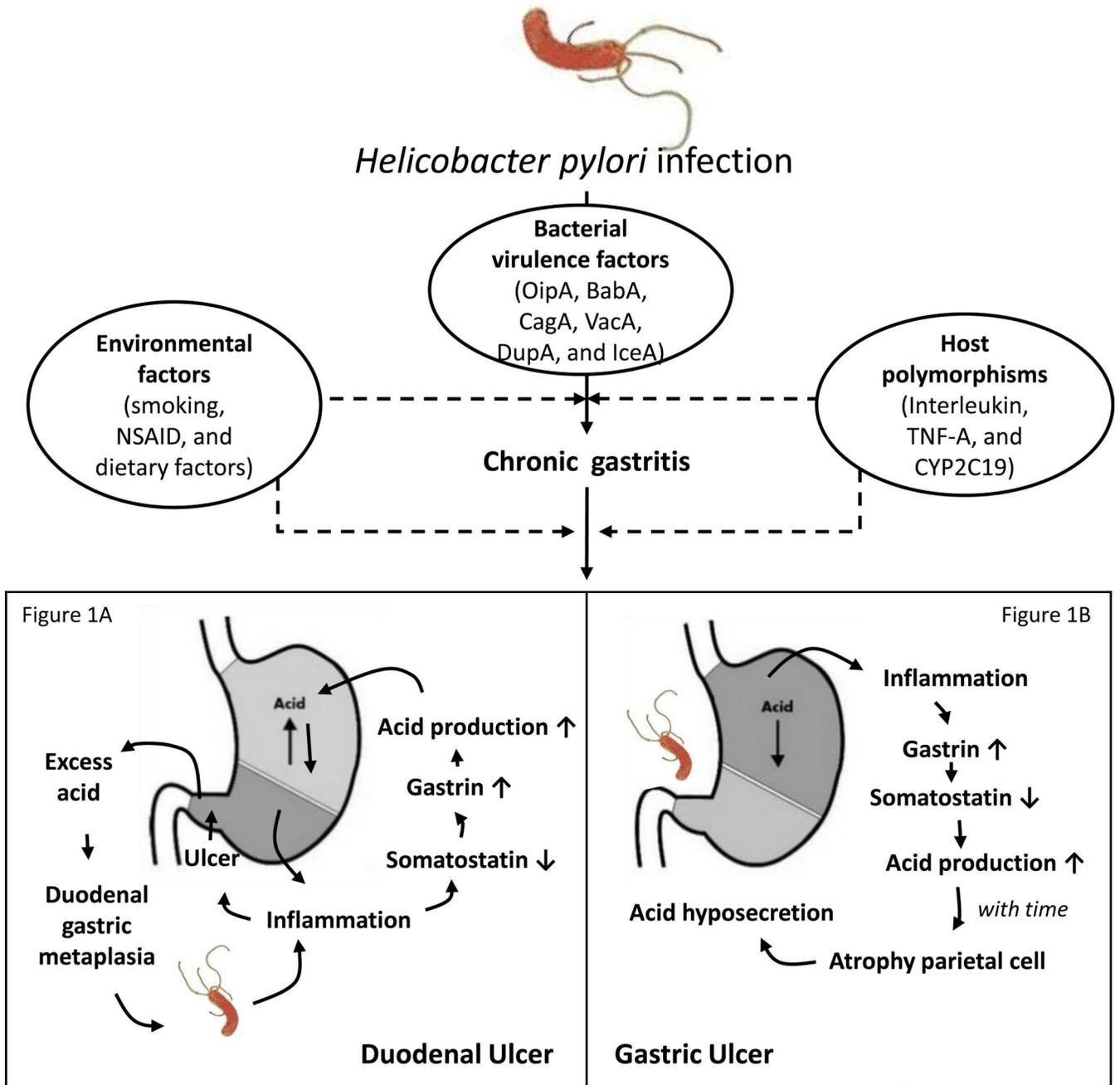
### Five-year view

Important issues regarding the role of *Helicobacter pylori* virulence and host genetic factors in the development peptic ulcer disease (PUD) are summarized below.

- *H. pylori* infection is still an important factor in the pathogenesis of PUD;
- More studies are needed to determine the mechanisms for the opposite ends of the PUD spectrum (GU and DU) and its association with *H. pylori* virulence and host genetic factors;
- Identification of the high-risk group based on bacterial and host factors is important to predict the outcomes of *H. pylori* infections;
- Intensive endoscopic screening and/or eradication therapy is strongly recommended for patients with a high risk of PUD;
- Whole-genome DNA microarray and whole-genome sequencing technology have become cheaper and are powerful tools to study the pathogenicity of *H. pylori*. Massively parallel sequencing technology provides valuable information regarding novel candidate virulence factors.

### Key issues

- *Helicobacter pylori* PUD has contrary effects on opposite ends of the disease spectrum, which may be related to differences in the severity and distribution of gastritis.
- In patients infected with *H. pylori*, the *oipA* “on,” *cagA* (especially East-Asian-type *cagA* or Western-type-*cagA* with the EPIYT sequence), *vacA* s1, m1, or i1, *babA*-positive, *dupA*-positive (especially the intact long-type *dupA*) or *iceA1*-positive genotypes were significantly associated with PUD.
- Some *TNF-A*, *IL-1B*, *IL-6*, *IL-8*, *IL-10* and *PSCA* polymorphisms are strongly associated with PUD, as are rapid and ultra-rapid metabolizer CYP2C19 genotypes.
- There is geographical variation in the impact of bacterial genotypes and host polymorphisms on PUD pathogenesis.
- It is important to identify the high-risk group for PUD with respect to bacterial and host factors. We recommend intensive endoscopic screening and/or eradication therapy for patients with a high risk of PUD. At minimum, physicians should be aware of the predominant bacterial and host genotypes in their region. This enables them to predict the risk of PUD or other gastrointestinal disease in patients infected with *H. pylori*.



**Figure 1. The opposite ends of the peptic ulcer disease (PUD) spectrum**

Genetic polymorphisms in conjunction with bacterial and/or environmental factors including a combination of markers from the adaptive and innate immune systems are involved in the response to *H. pylori* infection. Duodenal ulcer (DU) is usually related to high antral inflammatory scores, and high acid secretion (Figure 1A). Gastric ulcer (GU) is related to corporal gastritis or pangastritis and normal or decreased acid secretion (Figure 1B).

**Table 1**

Summary of the associations between bacterial virulence genes, host genetic polymorphism, and PUD

Gene	Region	GU	DU
Bacterial virulence			
<i>oipA</i>	Asia and Western		↑
<i>babA</i>	Western	↑	↑
<i>cagA</i>	Asian and Western	↑	↑
12-bp insertion <i>cagA</i>	Asian		↑
East-Asian type <i>cagA</i>	Asian	↑	↑
<i>vacA s1m1</i>	Asian and Western	↑	↑
<i>vacA i1</i>	Asian and Western	↑	↑
<i>vacA d1</i>	Asian		↑
<i>dupA</i>	Asian		↑
intact long-type <i>dupA</i>	Asian	↑	
<i>iceA1</i>	Asian and Western	↑	↑
Host genetic factors			
<i>TNF-A-238 G/A</i>	Western	↑	↑
<i>TNF-A-308 G/A</i>	Western	↑	↑
<i>TNF-A-857 T/T</i>	Western	↑	↑
<i>TNF-A-863 A carriers</i>	Asian	↑	↑
<i>TNF-A-1031 C carriers</i>	Asian	↑	↑
<i>IL-1B-31 C/C</i>	Asian	↑	↑
<i>IL-1B-511 T/T</i>	Asian		↑
<i>IL-1RN*2/*2</i>	Asian	↑	↑
<i>IL-6-572 G/G</i>	Asian	↑	↑
<i>IL-8-251 A/A</i>	Asian	↑	↑
<i>IL-10-819 T carriers</i>	South American	↑	↑
<i>IL-10-592 A/A</i>	Asian	↑	
<i>CYP2C19 URM*</i>	Western	↑	↑
<i>CYP2C19 RM*</i>	Asian	↑	↑
<i>PSCA rs2294008 C carriers</i>	Asian	↑	↑

\* URM: Ultra-rapid metabolizers, RM: Rapid metabolizers